

# MULTIPLE SOLUTIONS FOR A CRITICAL KIRCHHOFF SYSTEM

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ABSTRACT. We consider the nonlocal system

$$\begin{cases} -m \left( \int_{\Omega} |\nabla u|^2 dx \right) \Delta u &= F_u(x, u, v) + \mu_1 |u|^4 u, \text{ in } \Omega, \\ -l \left( \int_{\Omega} |\nabla v|^2 dx \right) \Delta v &= F_v(x, u, v) + \mu_2 |v|^4 v, \text{ in } \Omega, \end{cases}$$

with positive potentials  $m$  and  $l$ . The nonlinearity  $F$  is subcritical and locally superlinear at infinity. By using the Symmetric Mountain Pass Theorem we obtain multiple solutions for small value of  $\mu_1$  and  $\mu_2$ .

## 1. INTRODUCTION

We consider the nonlocal variational system

$$(S_{\mu}) \quad \begin{cases} -m \left( \int_{\Omega} |\nabla u|^2 dx \right) \Delta u &= F_u(x, u, v) + \mu_1 |u|^4 u, \text{ in } \Omega, \\ -l \left( \int_{\Omega} |\nabla v|^2 dx \right) \Delta v &= F_v(x, u, v) + \mu_2 |v|^4 v, \text{ in } \Omega, \\ u, v &\in W_0^{1,2}(\Omega), \end{cases}$$

where  $\Omega \subset \mathbb{R}^3$  is a bounded smooth domain,  $F$  is locally superlinear and  $\mu_1, \mu_2 > 0$  are parameters. The potentials  $m$  and  $l$  belongs to the set  $\mathcal{A}$  of all continuous functions  $g \in C([0, +\infty), \mathbb{R})$  which satisfy

- (A<sub>1</sub>)  $g(t) \geq g_0 > 0$ , for any  $t \geq 0$ ;
- (A<sub>2</sub>)  $2G(t) := 2 \int_0^t g(s) ds \geq g(t)t$ , for any  $t \geq 0$ .

Concerning the nonlinearity  $F$  we assume that

- (F<sub>0</sub>)  $F \in C^1(\Omega \times \mathbb{R}^2, \mathbb{R})$  is even with respect to the second variable;
- (F<sub>1</sub>) there holds  $\lim_{|z| \rightarrow \infty} \frac{|\nabla F(x, z)|}{|z|^5} = 0$ , uniformly in  $\Omega$ ;
- (F<sub>2</sub>) there exist  $\sigma_1, \sigma_2 \in [0, 2)$ ,  $c_0, c_1, c_2 \in (0, +\infty)$  such that

$$\frac{1}{4} \nabla F(x, z) \cdot z - F(x, z) \geq -c_0 - c_1 |s|^{\sigma_1} - c_2 |t|^{\sigma_2},$$

for any  $x \in \Omega$ ,  $z = (s, t) \in \mathbb{R}^2$ , where  $z_1 \cdot z_2$  stands for the inner product of  $z_1, z_2 \in \mathbb{R}^2$ . Furthermore, if  $\sigma_1 \neq 0$  or  $\sigma_2 \neq 0$ , we also suppose that, for some  $K > 0$ ,

$$\begin{cases} \mu_2 \leq \mu_1, & \text{if } \sigma_1 \neq 0 \text{ and } \sigma_2 = 0; \\ \mu_2 \leq \mu_1 \leq K\mu_1, & \text{if } \sigma_1 \neq 0 \text{ and } \sigma_2 \neq 0; \\ \mu_1 \leq K\mu_2, & \text{if } \sigma_1 = 0 \text{ and } \sigma_2 \neq 0. \end{cases}$$

- (F<sub>3</sub>) there exist  $\theta_1, \theta_2 \in (2, 6)$  and  $c_3, c_4, c_5 \in (0, +\infty)$  such that

$$F(x, s, t) \leq c_3 |s|^{\theta_1} + c_4 |t|^{\theta_2} + c_5, \quad \forall x \in \Omega, (s, t) \in \mathbb{R}^2;$$

- (F<sub>4</sub>) there exists an open set  $\Omega_0 \subset \Omega$ , with positive measure, such that  $\lim_{|s| \rightarrow \infty} \frac{F(x, s, 0)}{|s|^4} = +\infty$ , uniformly in  $\Omega_0$ .

We state below the main result of this paper.

**Theorem 1.1.** *Suppose that  $F$  satisfies (F<sub>0</sub>) – (F<sub>4</sub>). Suppose also that  $m, l \in \mathcal{A}$  and there exist  $a, b > 0$  such that*

$$(1.1) \quad m(t) \leq a + bt, \quad \forall t \geq 0.$$

*Then, for any  $k \in \mathbb{N}$ , there exists  $\mu_k^* > 0$  such that the problem  $(S_{\mu})$  has at least  $k$  pairs of nonzero solutions for any  $\mu_1, \mu_2 \in (0, \mu_k^*)$ .*

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We notice that condition  $(F_2)$  is weaker than the usual Ambrosetti-Rabinowitz type condition since, in our setting, it is allowed that  $F$  takes negative values. Moreover, the superlinearity condition  $(F_4)$  holds only when  $x \in \Omega_0$  and the second variable goes to infinity. Actually, we can prove an analogous theorem when the (local) superlinearity condition holds on the third variable (see Remark 3.4) and the function  $l$  verifies  $l(t) \leq a + bt$ .

Given a bounded smooth domain  $\Omega \subset \mathbb{R}^N$  and a positive function  $w$ , the Kirchhoff equation

$$-w \left( \int_{\Omega} |\nabla u|^2 dx \right) \Delta u = g(x, u), \quad \text{in } \Omega, \quad u = 0, \quad \text{on } \partial\Omega,$$

has its origin in the theory of nonlinear vibration. For instance, in the model case  $w(t) = a + bt$ , with  $a, b > 0$ , it comes from the following model for the modified d'Alembert wave equation

$$\rho \frac{\partial^2 u}{\partial t^2} - \left( \frac{P_0}{h} + \frac{E}{2L} \int_0^L \left| \frac{\partial u}{\partial x} \right|^2 dx \right) \frac{\partial^2 u}{\partial x^2} = g(x, u),$$

proposed by Kirchhoff in [9]. Here  $L$  is the length of the string,  $h$  is the area of cross-section,  $E$  is the Young modulus of the material,  $\rho$  is the mass density and  $P_0$  is the initial tension. Nonlocal problems also appear in other fields as, for example, biological systems where  $u$  describes a process which depends on the average of itself (for instance, population density). We refer the reader to [3, 12] for more examples on the physical motivation of this problem. As far as we know, the first paper dealing with Kirchhoff type equation via variational methods was [1]. Since then, there is a vast literature concerning the existence, nonexistence, multiplicity and concentration behavior of solutions for scalar nonlocal problems with critical growth (see [4, 14, 11, 6] and references therein). Although the literature for the system is not so huge, we can cite the papers [2, 5, 8, 10, 16, 7] which contain some results which are related but not comparable with ours.

In the next section, we prove a local compactness result for the associated energy functional. In Section 3, we prove the main theorem.

## 2. THE LOCAL PALAIS-SMALE CONDITION

In what follows we write  $\int_{\Omega} u$  instead of  $\int_{\Omega} u(x)dx$ . We denote by  $\|u\|_p$  the  $L^p(\Omega)$ -norm of a function  $u \in L^p(\Omega)$ , for any  $1 \leq p \leq \infty$ .

Let  $H$  be the Hilbert space  $W_0^{1,2}(\Omega) \times W_0^{1,2}(\Omega)$  endowed with the norm  $\|(u, v)\| := [\int_{\Omega} (|\nabla u|^2 + |\nabla v|^2)]^{1/2}$ . For each component of the vector  $(u, v) \in H$ , we also denote  $\|\cdot\| = (\int_{\Omega} |\nabla \cdot|^2)^{1/2}$ . By using  $(F_0) - (F_3)$  we can prove that the functional  $I_{\mu_1, \mu_2} : H \rightarrow \mathbb{R}$  given by

$$I_{\mu_1, \mu_2}(u, v) := \frac{1}{2}M(\|u\|^2) + \frac{1}{2}L(\|v\|^2) - \frac{\mu_1}{6}\|u\|_6^6 - \frac{\mu_2}{6}\|v\|_6^6 - \int_{\Omega} F(x, u, v),$$

where  $M(t) := \int_0^t m(s)ds$  and  $L(t) := \int_0^t l(s)ds$ , belongs to  $C^1(H, \mathbb{R})$ . Moreover, the critical points of  $I_{\mu_1, \mu_2}$  are precisely the weak solutions of  $(S_{\mu})$ .

Given  $c \in \mathbb{R}$ , we say that  $I_{\mu_1, \mu_2}$  satisfies the Palais-Smale condition at level  $c$  ( $(PS)_c$  for short) if any sequence  $(z_n) \subset H$  such that

$$\lim_{n \rightarrow +\infty} I_{\mu_1, \mu_2}(z_n) = c, \quad \lim_{n \rightarrow +\infty} I'_{\mu_1, \mu_2}(z_n) = 0,$$

has a convergent subsequence. The aim of this section is to prove the following local compactness result:

**Proposition 2.1.** *Given  $C^* > 0$  there exists  $\mu^* > 0$  such that  $I_{\mu_1, \mu_2}$  satisfies  $(PS)_c$  for any  $c < C^*$  and  $\mu_1, \mu_2 \in (0, \mu^*)$ .*

The proof will be done in several steps. The first one is to verify that Palais-Smale sequences are bounded.

**Lemma 2.2.** *If  $(z_n) = ((u_n, v_n)) \subset H$  is such that  $I_{\mu_1, \mu_2}(z_n) \rightarrow c$  and  $I'_{\mu_1, \mu_2}(z_n) \rightarrow 0$ , then  $(z_n) \subset H$  is bounded.*

*Proof.* Given  $\varepsilon > 0$ , there exists  $C_{\varepsilon} > 0$  such that

$$(2.1) \quad |s|^{\sigma_1} \leq \varepsilon |s|^6 + C_{\varepsilon}, \quad |t|^{\sigma_2} \leq \varepsilon |t|^6 + C_{\varepsilon}, \quad \forall (s, t) \in \mathbb{R}^2,$$

where  $\sigma_1, \sigma_2 \in [0, 2)$  come from  $(F_2)$ . This,  $(\mathcal{A}_2)$  and  $(F_2)$  provide

$$\begin{aligned} c + o_n(1) + o_n(1)\|z_n\| &\geq I_{\mu_1, \mu_2}(z_n) - \frac{1}{4}I'_{\mu_1, \mu_2}(z_n)z_n \\ &\geq \frac{\mu_1}{12}\|u_n\|_6^6 + \frac{\mu_2}{12}\|v_n\|_6^6 - c_0|\Omega| - c_1\|u_n\|_{\sigma_1}^{\sigma_1} - c_2\|v_n\|_{\sigma_2}^{\sigma_2} \\ &\geq \left(\frac{\mu_1}{12} - \varepsilon c_1\right)\|u_n\|_6^6 + \left(\frac{\mu_2}{12} - \varepsilon c_2\right)\|v_n\|_6^6 - (c_0 + 2C_{\varepsilon})|\Omega|. \end{aligned}$$

Picking  $\varepsilon > 0$  small, we obtain  $C_1, C_2 > 0$  such that

$$(2.2) \quad \|z_n\|_6^6 \leq C_1 + C_2 \|z_n\|.$$

Since  $m, l \in \mathcal{A}$ , we have that  $m(t) \geq m_0, l(t) \geq l_0$ , for any  $t \geq 0$ . This,  $I_{\mu_1, \mu_2}(z_n) = c + o_n(1)$ ,  $(\mathcal{A}_1) - (\mathcal{A}_2)$  and  $(F_3)$  provide

$$\begin{aligned} \frac{l_0}{4} \|u_n\|^2 + \frac{m_0}{4} \|v_n\|^2 &\leq \frac{1}{2} M(\|u_n\|^2) + \frac{1}{2} L(\|v_n\|^2) \\ &\leq C \|z_n\|_6^6 + c_5 |\Omega| + c_3 \|u_n\|_{\theta_1}^{\theta_1} + c_4 \|v_n\|_{\theta_2}^{\theta_2} + c + o_n(1). \end{aligned}$$

Since  $\theta_1, \theta_2 \in (2, 6)$ , there holds an inequality analogous to (2.1) with  $\theta_i$  instead of  $\sigma_i$ . Hence, we infer from (2.2) that

$$\frac{\min\{m_0; l_0\}}{4} \|z_n\|^2 \leq C_3 \|z_n\|_6^6 + C_4 \leq C_5 \|z_n\| + C_6,$$

and therefore  $(z_n)$  is bounded in  $H$ .  $\square$

The next lemma is a version of [15, Lemma 3.1].

**Lemma 2.3.** *Suppose that  $(z_n) = ((u_n, v_n)) \subset H$  is such that  $z_n \rightharpoonup z = (u, v)$  weakly in  $H$ . Then, up to a subsequence,*

$$\lim_{n \rightarrow +\infty} \int_{\Omega} |F_u(x, z_n)u_n - F_u(x, z)u| = 0 = \lim_{n \rightarrow +\infty} \int_{\Omega} |F_v(x, z_n)v_n - F_v(x, z)v|.$$

*Proof.* We only prove the first statement. Notice that, up to a subsequence,  $(u_n, v_n) \rightarrow (u, v)$  strongly in  $L^2(\Omega) \times L^2(\Omega)$ ,  $(u_n(x), v_n(x)) \rightarrow (u(x), v(x))$  for a.e.  $x \in \Omega$  and

$$(2.3) \quad \max\{\|u\|_6^6, \|u_n\|_6^6, \|v\|_6^6, \|v_n\|_6^6\} \leq C_1, \quad \forall n \in \mathbb{N}.$$

Moreover, for any  $\varepsilon > 0$ , we can use  $(F_1)$  and Young's inequality to obtain  $C_\varepsilon > 0$  such that

$$|F_u(x, s, t)s| \leq C_\varepsilon + \frac{\varepsilon}{2}(|s|^6 + |t|^6|s|) \leq C_\varepsilon + \varepsilon(|s|^6 + |t|^6), \quad \forall x \in \Omega, (s, t) \in \mathbb{R}^2.$$

Now, given  $\delta > 0$ , we can pick  $0 < \varepsilon < \delta/(8C_1)$  and apply Egorov's Theorem to obtain a measurable set  $\widehat{\Omega} \subset \Omega$  such that  $F_u(\cdot, z_n)u_n \rightarrow F_u(\cdot, z)u$  uniformly in  $\widehat{\Omega}$  and  $|\Omega \setminus \widehat{\Omega}| < \delta/(4C_\varepsilon)$ .

It follows from the above inequality for  $F_u$  and (2.3) that

$$\int_{\Omega \setminus \widehat{\Omega}} |F_u(x, z_n)u_n - F_u(x, z)u| \leq 2C_\varepsilon |\Omega \setminus \widehat{\Omega}| + 4C_1 \varepsilon \leq \delta.$$

This and the uniform convergence in  $\widehat{\Omega}$  provide

$$\limsup_{n \rightarrow +\infty} \int_{\Omega} |F_u(x, z_n)u_n - F_u(x, z)u| \leq \limsup_{n \rightarrow +\infty} \left( \int_{\widehat{\Omega}} + \int_{\Omega \setminus \widehat{\Omega}} \right) \leq \delta.$$

Since  $\delta > 0$  is arbitrary, the result follows from the above inequality.  $\square$

Let  $S$  the best constant of the Sobolev embedding  $W_0^{1,2}(\Omega) \hookrightarrow L^6(\Omega)$ , namely

$$S := \inf_{u \in W_0^{1,2}(\Omega) \setminus \{0\}} \frac{\|u\|^2}{\left(\int_{\Omega} |u|^6\right)^{2/6}}.$$

We denote by  $C(\overline{\Omega})$  the set of all continuous functions  $u : \overline{\Omega} \rightarrow \mathbb{R}$  endowed with the norm  $\|u\|_{C(\overline{\Omega})} := \max_{x \in \overline{\Omega}} |u(x)|$  and by  $\mathcal{M}(\overline{\Omega})$  its dual, namely the set of Radon measures. Arguing along the same lines of the proof of the concentration-compactness lemma of Lions [13, Lemma 2.1], we can prove the following version of that result for our system:

**Lemma 2.4.** *Suppose that  $(z_n) = ((u_n, v_n)) \subset H$  verifies*

$$\begin{cases} u_n \rightharpoonup u, v_n \rightharpoonup v, & \text{weakly in } W_0^{1,2}(\Omega), \\ |\nabla u_n|^2 \rightharpoonup \zeta, |\nabla v_n|^2 \rightharpoonup \bar{\zeta}, & \text{in the weak* topology } \sigma(\mathcal{M}(\overline{\Omega}), C(\overline{\Omega})), \\ |u_n|^6 \rightharpoonup \nu, |v_n|^6 \rightharpoonup \bar{\nu}, & \text{in the weak* topology } \sigma(\mathcal{M}(\overline{\Omega}), C(\overline{\Omega})), \end{cases}$$

where  $\zeta, \bar{\zeta}, \nu, \bar{\nu} \in \mathcal{M}(\overline{\Omega})$  are nonnegative bounded measures in  $\overline{\Omega}$ . Then there exist enumerable sets  $J_1$  and  $J_2$ , which can be empty, and two families  $\{x_j, j \in J_1\}$  and  $\{y_j, j \in J_2\}$  of points in  $\overline{\Omega}$  such that

$$\begin{aligned} \text{(a)} \quad \nu &= |u|^6 dx + \sum_{j \in J_1} \nu_j \delta_{x_j}; \quad \bar{\nu} = |v|^6 dx + \sum_{j \in J_2} \bar{\nu}_j \delta_{y_j}; \\ \text{(b)} \quad \zeta &\geq |\nabla u|^2 dx + \sum_{j \in J_1} \zeta_j \delta_{x_j}; \quad \bar{\zeta} \geq |\nabla v|^2 dx + \sum_{j \in J_2} \bar{\zeta}_j \delta_{y_j}, \end{aligned}$$

where  $\nu_j, \bar{\nu}_j, \zeta_j, \bar{\zeta}_j > 0$ . Moreover,  $S\nu_j^{1/3} \leq \zeta_j$ , for any  $j \in J_1$ , and  $\bar{\nu}_j^{1/3} \leq \bar{\zeta}_j$ , for any  $j \in J_2$ .

We prove in the sequel that, for some special sequences, the sets  $J_1$  and  $J_2$  are finite.

**Lemma 2.5.** *Let  $(z_n) \subset H$  be as in Lemma 2.4 and suppose that  $I'_{\mu_1, \mu_2}(z_n) \rightarrow 0$ . Then  $J_1$  and  $J_2$  are finite. Moreover,*

$$(2.4) \quad \nu_j \geq (m_0 S / \mu_1)^{3/2}, \quad \forall j \in J_1; \quad \bar{\nu}_j \geq (l_0 S / \mu_2)^{3/2}, \quad \forall j \in J_2.$$

*Proof.* We only prove that  $J_1$  is finite, since the argument for  $J_2$  is analogous. Let  $\phi \in C_0^\infty(\mathbb{R}^N, [0, 1])$  be such that  $\phi \equiv 1$  in  $B_{1/2}(0)$  and  $\phi \equiv 0$  in  $\mathbb{R}^N \setminus B_1(0)$ . Suppose that  $J_1 \neq \emptyset$ , fix  $j \in J_1$  and define  $\phi_\varepsilon(x) := \phi((x - x_j)/\varepsilon)$ , for  $\varepsilon > 0$ . Since  $(\phi_\varepsilon z_n) \subset H$  is bounded we have that  $I'_{\mu_1, \mu_2}(z_n)(\phi_\varepsilon u_n, 0) = o_n(1)$ , and therefore

$$m(\|u_n\|^2) \left( A_{n, \varepsilon} + \int_{\Omega} |\nabla u_n|^2 \phi_\varepsilon \right) = o_n(1) + \mu_1 \int_{\Omega} |u_n|^6 \phi_\varepsilon + \int_{\Omega} F_u(x, u_n, v_n) u_n \phi_\varepsilon,$$

where  $A_{n, \varepsilon} := \int u_n (\nabla u_n \cdot \nabla \phi_\varepsilon)$ . Since  $m(t) \geq m_0$ , for any  $t \geq 0$ , Lemma 2.4 implies that

$$(2.5) \quad m_0 \left( \limsup_{n \rightarrow +\infty} A_{n, \varepsilon} + \int_{\Omega} \phi_\varepsilon d\zeta \right) \leq \mu_1 \int_{\Omega} \phi_\varepsilon d\nu + \int_{\Omega} f(x, u) u \phi_\varepsilon.$$

We claim that  $\lim_{\varepsilon \rightarrow 0} \limsup_{n \rightarrow \infty} A_{n, \varepsilon} = 0$ . If this is true, we can take the limit as  $\varepsilon \rightarrow 0$  in the above equality and use the Lebesgue Theorem to get  $m_0 \zeta_j \leq \mu_1 \nu_j$ . Recalling that  $S\nu_j^{1/3} \leq \zeta_j$ , we obtain  $m_0 S\nu_j^{1/3} \leq m_0 \zeta_j \leq \mu_1 \nu_j$ , and therefore  $\nu_j \geq (m_0 S / \mu_1)^{3/2}$ . Thus,  $\nu(\bar{\Omega}) \geq \sum_{j \in J} \nu_j \geq \sum_{j \in J} (m_0 S / \mu_1)^{3/2}$  and we conclude that  $J_1$  is finite.

In order to prove the claim, we first use Hölder's inequality to compute

$$|A_{n, \varepsilon}| \leq \int_{\Omega} |u_n| |\nabla u_n| |\nabla \phi_\varepsilon| \leq C \left( \int_{\Omega} |u_n|^2 |\nabla \phi_\varepsilon|^2 \right)^{1/2}.$$

Thus, the change of variables  $y := (x - x_j)/\varepsilon$  and the Sobolev embedding provide

$$\limsup_{n \rightarrow \infty} |A_{n, \varepsilon}| \leq C \left( \int_{\Omega} |u|^2 |\nabla \phi_\varepsilon|^2 dx \right)^{1/2} = \varepsilon^{(N-2)/2} C \left( \int_{\{|y| \leq \varepsilon\}} |u(y\varepsilon + x_j)|^2 |\nabla \phi(y)|^2 dy \right)^{1/2},$$

and the result follows from  $N \geq 3$ .  $\square$

We are ready to prove our local compactness result.

*Proof of Proposition 2.1.* We first assume that  $\sigma_1, \sigma_2 \in [0, 2)$  given in  $(F_2)$  are both nonzero. Given  $C^* > 0$ , we fix  $\mu_1, \mu_2 \in (0, \tilde{\mu})$ , with  $\tilde{\mu} > 0$  to be choosed later. Let  $(z_n) = ((u_n, v_n)) \subset H$  be such that  $I_{\mu_1, \mu_2}(z_n) \rightarrow c \leq C^*$  and  $I'_{\mu_1, \mu_2}(z_n) \rightarrow 0$ , as  $n \rightarrow +\infty$ . According to Lemma 2.2, we may assume that all the hypotheses of Lemma 2.4 holds. By using the same notation of that lemma we define

$$Q_1 := \sum_{j \in J_1} \nu_j, \quad Q_2 := \sum_{j \in J_2} \bar{\nu}_j,$$

in such way that

$$(2.6) \quad \lim_{n \rightarrow +\infty} \|u_n\|_6^6 = \|u\|_6^6 + Q_1, \quad \lim_{n \rightarrow +\infty} \|v_n\|_6^6 = \|v\|_6^6 + Q_2.$$

Claim: If  $\tilde{\mu} > 0$  is small, then  $Q_1 < (m_0 S / \mu_1)^{3/2}$  and  $Q_2 < (l_0 S / \mu_2)^{3/2}$ .

Indeed, by  $(F_2)$  we have that

$$I_{\mu_1, \mu_2}(z_n) - \frac{1}{4} I'_{\mu_1, \mu_2}(z_n) z_n \geq \frac{\mu_1}{12} \|u_n\|_6^6 + \frac{\mu_2}{12} \|v_n\|_6^6 - c_0 |\Omega| - c_1 \|u_n\|_{\sigma_1}^{\sigma_1} - c_2 \|v_n\|_{\sigma_2}^{\sigma_2}.$$

By taking the limit, using (2.6) and the embeddings  $W_0^{1,2}(\Omega) \hookrightarrow L^{\sigma_i}(\Omega)$  and  $L^{\sigma_i}(\Omega) \hookrightarrow L^6(\Omega)$ , we get

$$(2.7) \quad \frac{\mu_1}{12} Q_1 + \frac{\mu_2}{12} Q_2 \leq M + c_0 |\Omega| + c_1 a_1 \|u\|_6^{\sigma_1} - \frac{\mu_1}{12} \|u\|_6^6 + c_2 a_2 \|u\|_6^{\sigma_2} - \frac{\mu_2}{12} \|v\|_6^6.$$

Choosing  $C_1 > 0$  such that  $\frac{\sigma_1}{6C_1} = \frac{\mu_1}{12a_1 c_1}$  and applying Young's inequality with exponents  $r = 6/\sigma_1$  and  $r' = 6/(6 - \sigma_1)$  we obtain  $\|u\|_6^{\sigma_1} \leq \frac{\sigma_1}{6C_1} \|u\|_6^6 + \frac{C_1^{r'-1}}{r'} = \frac{\mu_1}{12a_1 c_1} \|u\|_6^6 + \frac{C_2}{(\mu_1)^{\sigma_1/(6-\sigma_1)}}$ . Analogously,  $\|v\|_6^{\sigma_2} \leq \frac{\mu_2}{12a_2 c_2} \|v\|_6^6 + \frac{C_3}{(\mu_2)^{\sigma_2/(6-\sigma_2)}}$ . So, it follows from (2.7) that

$$\frac{\mu_1}{12} Q_1 + \frac{\mu_2}{12} Q_2 \leq M + c_0 |\Omega| + c_1 a_1 \frac{C_2}{(\mu_1)^{\sigma_1/(6-\sigma_1)}} + c_2 a_2 \frac{C_3}{(\mu_2)^{\sigma_2/(6-\sigma_2)}}.$$

Since  $\mu_2 \leq \mu_1 \leq K\mu_2$ , we obtain  $A_i > 0$ ,  $i = 1, \dots, 6$ , independent of  $\mu_1$  and  $\mu_2$ , such that

$$Q_1 \leq \frac{A_1}{\mu_1} + \frac{A_2}{(\mu_1)^{6/(6-\sigma_1)}} + \frac{A_3}{(\mu_1)^{6/(6-\sigma_2)}}, \quad Q_2 \leq \frac{A_4}{\mu_2} + \frac{A_5}{(\mu_2)^{6/(6-\sigma_2)}} + \frac{A_6}{(\mu_2)^{6/(6-\sigma_2)}}.$$

Recalling that  $\sigma_i \in [0, 2)$ , we obtain  $\max\{1; 6/(6-\sigma_1); 6/(6-\sigma_2)\} < 3/2$ . Hence, picking  $\tilde{\mu} > 0$  such that  $A_1\sqrt{\tilde{\mu}} \leq (m_0S)^{3/2}/3$ , there holds

$$\frac{A_1}{\mu_1} \leq \frac{1}{3} \frac{(m_0S)^{3/2}}{\mu_1\sqrt{\tilde{\mu}}} \leq \frac{1}{3} \left( \frac{m_0S}{\mu_1} \right)^{3/2}.$$

By using the same argument (with a small  $\tilde{\mu}$  if necessary), we obtain  $Q_1 < (m_0S/\mu_1)^{3/2}$ . The inequality for  $Q_2$  can be proved in the same way.

By using the claim and (2.4) we conclude that the sets  $J_1$  and  $J_2$  of Lemma 2.4 are empty. Hence

$$\lim_{n \rightarrow +\infty} \int_{\Omega} |u_n|^6 = \int_{\Omega} |u|^6, \quad \lim_{n \rightarrow +\infty} \int_{\Omega} |v_n|^6 = \int_{\Omega} |v|^6,$$

and we can use  $I'_{\mu_1, \mu_2}(z_n)(u_n, 0) = o_n(1)$ ,  $I'_{\mu_1, \mu_2}(z_n)(u, 0) = o_n(1)$  and Lemma 2.3 to get

$$o_n(1) = I_{\mu_1, \mu_2}(z_n)(u_n, 0) - I'_{\mu_1, \mu_2}(z_n)(u, 0) = m(\|u_n\|^2)(\|u_n\|^2 - \|u\|^2) + o_n(1).$$

Since  $m$  is continuous and positive we get  $\|u_n\| \rightarrow \|u\|$ , and therefore  $u_n \rightarrow u$  strongly in  $W_0^{1,2}(\Omega)$ . The same argument shows that  $v_n \rightarrow v$  strongly in  $W_0^{1,2}(\Omega)$ . Thus, the proposition holds if  $\sigma_1 \neq 0$  and  $\sigma_2 \neq 0$ .

If  $\sigma_1 \neq 0$  and  $\sigma_2 = 0$ , equation (2.7) becomes

$$\frac{\mu_1}{12}Q_1 + \frac{\mu_2}{12}Q_2 \leq M + c_0|\Omega| + c_1a_1\|u\|_6^{\sigma_1} - \frac{\mu_1}{12}\|u\|_6^6,$$

and the result follows with the same argument used above. Finally, if  $\sigma_1 = \sigma_2 = 0$ , it is sufficient to consider  $\mu_1 < \left(\frac{m_0S^{3/2}}{3M}\right)^2$  and  $\mu_2 < \left(\frac{l_0S^{3/2}}{3M}\right)^2$ . The proposition is proved.  $\square$

### 3. PROOF OF THEOREMS 1.1

The main theorems of this paper will be proved as an application of the following version of the Symmetric Mountain Pass Theorem.

**Theorem 3.1.** *Let  $E = V \oplus W$  be a real Banach space with  $\dim V < \infty$ . Suppose that  $I \in C^1(E, \mathbb{R})$  is an even functional satisfying  $I(0) = 0$  and*

- (I<sub>1</sub>) *there exist  $\rho, \alpha > 0$  such that  $\inf_{u \in \partial B_{\rho}(0) \cap W} I(u) \geq \alpha$ ;*
- (I<sub>2</sub>) *there exist a subspace  $\widehat{V} \subset E$  with  $\dim V < \dim \widehat{V} < \infty$  such that, for some  $M > 0$ , there holds  $\max_{u \in \widehat{V}} I(u) \leq M$ ;*
- (I<sub>3</sub>)  *$I$  satisfies the  $(PS)_c$  for any  $c \in (0, M)$ .*

*Then  $I$  possesses at least  $(\dim \widehat{V} - \dim V)$  pairs of nonzero critical points.*

In what follows we denote by  $(\varphi_j)_{j \in \mathbb{N}}$  the normalized eigenfunctions of  $\sigma(-\Delta, W_0^{1,2}(\Omega))$ . For each  $m \in \mathbb{N}$ , we set  $V_m := \text{span}\{(\varphi_1, \varphi_1), \dots, (\varphi_m, \varphi_m)\}$  and notice that  $H = V_m \oplus V_m^{\perp}$ . It was proved in [15, Lemma 3.1] that, given  $2 \leq r < 6$  and  $\delta > 0$ , there exists  $m_0 \in \mathbb{N}$  such that, for any  $m \geq m_0$ ,

$$(3.1) \quad \int_{\Omega} |u|^r \leq \delta \|u\|^r, \quad \forall u \in \text{span}\{\varphi_1, \dots, \varphi_m\}^{\perp}$$

We prove in the sequel that the energy functional satisfies (I<sub>1</sub>).

**Lemma 3.2.** *There exist  $\bar{\mu} > 0$ ,  $m \in \mathbb{N}$  and  $\rho, \alpha > 0$  such that, for any  $\mu_1, \mu_2 \in (0, \bar{\mu})$ , there holds*

$$I_{\mu_1, \mu_2}(z) \geq \alpha, \quad \forall z \in \partial B_{\rho}(0) \cap V_m^{\perp}.$$

*Proof.* By using (A<sub>1</sub>), (F<sub>3</sub>), the Sobolev embeddings and inequality (3.1) with  $r = \sigma_i$  and  $\delta > 0$  to be choosed later, we get

$$\begin{aligned} I(z) &\geq \frac{1}{4}m(\|u\|^2)\|u\|^2 + \frac{1}{4}l(\|v\|^2)\|v\|^2 - \int F(x, z) - \frac{\mu_1}{6}\|u\|_6^6 - \frac{\mu_2}{6}\|v\|_6^6 \\ &\geq \frac{m_0}{4}\|u\|^2 + \frac{l_0}{4}\|v\|^2 - c_3|\Omega| - c_4\|u\|_{\theta_1}^{\theta_1} - c_5\|v\|_{\theta_2}^{\theta_2} - \mu_1b_1\|u\|^6 - \mu_2b_2\|v\|^6 \\ &\geq \frac{m_0}{4}\|u\|^2 + \frac{l_0}{4}\|v\|^2 - c_3|\Omega| - c_4\delta\|u\|_{\theta_1}^{\theta_1} - c_5\delta\|v\|_{\theta_2}^{\theta_2} - \mu_1b_1\|u\|^6 - \mu_2b_2\|v\|^6, \end{aligned}$$

for any  $z \in V_m^\perp$ . Hence, for  $c := (1/4) \min\{m_0; l_0\}$ , there holds

$$I(z) \geq \|z\|^2(c - c_4\delta\|z\|^{\theta_1-2} - c_5\delta\|z\|^{\theta_2-2}) - c_3|\Omega| - \mu_1 b_1 \|z\|^6 - \mu_2 b_2 \|z\|^6,$$

If  $\rho = \rho(\delta) > 0$  verifies  $c_4\delta\rho^{\theta_1-2} + c_5\delta\rho^{\theta_2-2} = c/2$ , then

$$I(z) \geq \frac{c}{2}\rho^2 - c_3|\Omega| - \mu_1 b_1 \rho^6 - \mu_2 b_2 \rho^6, \quad \forall z \in \partial B_\rho(0) \cap V_m^\perp.$$

Notice that  $\rho(\delta) \rightarrow +\infty$  as  $\delta \rightarrow 0$ , and therefore we can pick  $\delta > 0$  such that  $(c/2)\rho^2 - c_3|\Omega| > (c/4)\rho^2$ . So, there exists  $\bar{\mu} > 0$  small such that

$$I(z) \geq \rho^2 \left( \frac{c}{4} - \mu_1 b_1 \rho^4 - \mu_2 b_2 \rho^4 \right) =: \alpha > 0, \quad \forall z \in \partial B_\rho(0) \cap V_m^\perp.$$

for any  $\mu_1, \mu_2 \in (0, \bar{\mu})$ . The lemma is proved.  $\square$

The local superlinearity condition  $(F_4)$  is used only in the next result.

**Lemma 3.3.** *Suppose that  $F$  and  $m$  satisfy  $(F_4)$  and (1.1). Then, for any  $j \in \mathbb{N}$ , there exist a  $j$ -dimensional subspace  $\widehat{V}_j \subset H$  and  $M > 0$  such that  $\sup_{u \in \widehat{V}_j} I(z) \leq M$ , for any  $\mu_1, \mu_2 > 0$ .*

*Proof.* Let  $\Omega_0 \subset \Omega$  given by  $(F_4)$  and consider  $(\varphi_j)_{j \in \mathbb{N}}$  the normalized eigenfunctions of  $\sigma(-\Delta, W_0^{1,2}(\Omega_0))$ . We define the subspace  $\widehat{V}_j := \text{span}\{(\varphi_j, 0), \dots, (\varphi_j, 0)\}$ . Since  $\widehat{V}_j$  is finite dimensional, there exists  $C_1 = C_1(\widehat{V}_j) > 0$  such that

$$(3.2) \quad C_1 \|u\|^4 \leq \|u\|_4^4, \quad \forall u \in \widehat{V}_j.$$

Let  $b > 0$  be given in (1.1) and  $\varepsilon > b/(4C_1)$ . It follows from  $(F_4)$  and  $(F_0)$  that,  $F(x, s, 0) \geq \varepsilon|s|^4 - C_2$ , for any  $x \in \Omega_0$ ,  $s \in \mathbb{R}$ , and some constant  $C_2 = C_2(C_1, b) > 0$ . This, (1.1) and (3.2) imply that, for any  $z \in \widehat{V}_j$ , there holds

$$I_{\mu_1, \mu_2}(z) \leq \frac{a}{2} \|u\|^2 \left( \varepsilon C_1 - \frac{b}{4} \right) \|u\|^4 + C_2 |\Omega| \leq \sup_{t>0} \left\{ \frac{a}{2} t^2 + \varepsilon_0 t^4 + C_2 |\Omega| \right\},$$

with  $\varepsilon_0 = (\varepsilon C_1 - b/4) > 0$ . The result follows if we call  $M$  the right-hand side above.  $\square$

We are ready to prove our main result.

*Proof of Theorem 1.1.* Let  $k \in \mathbb{N}$  be fixed. By Lemma 3.2, we can find  $m \in \mathbb{N}$  large in such way that, for the decomposition  $H = V \oplus W$ , with  $V := \langle (\varphi_1, 0), \dots, (\varphi_m, 0) \rangle$ ,  $W := V^\perp$ , the functional  $I_{\mu_1, \mu_2}$  satisfies  $(I_1)$  for any  $\mu_1, \mu_2 \in (0, \bar{\mu})$ . Moreover, by using Lemma 3.3, we obtain a subspace  $\widehat{V}_{k+m} \subset H$  and  $M > 0$  such that

$$\dim \widehat{V}_{k+m} = (k+m), \quad \sup_{z \in \widehat{V}_{k+m}} I \leq M, \quad \forall \mu_1, \mu_2 > 0.$$

Hence,  $I_{\mu_1, \mu_2}$  satisfies  $(I_2)$ . By considering  $M$  as above, we obtain from Proposition 2.1 a number  $\mu^* > 0$  such that  $I_{\mu_1, \mu_2}$  satisfies  $(I_3)$ , for any  $\mu_1, \mu_2 \in (0, \mu^*)$ . Since  $I_{\mu_1, \mu_2}(0) = 0$  and  $I_{\mu_1, \mu_2}$  is even, we can set  $\mu_k^* := \min\{\bar{\mu}; \mu^*\}$  and use Theorem 3.1 to conclude that, for any  $\mu \in (0, \mu_k^*)$ , the functional  $I_{\mu_1, \mu_2}$  has at least  $(k+m-m) = k$  pairs of nonzero critical points.  $\square$

**Remark 3.4.** *A simple inspection of the proof of Lemma 3.3 shows that it also holds if we replace the bound condition in  $m$  by  $l(t) \leq a + bt$ , for any  $t \geq 0$ , and the superlinearity condition  $(F_4)$  by*

$$(\widehat{F}_4) \text{ there exists an open set } \Omega_0 \subset \Omega, \text{ with positive measure, such that } \lim_{|t| \rightarrow \infty} \frac{F(x, 0, t)}{|t|^4} = +\infty, \text{ uniformly in } \Omega_0.$$

*Hence, in this new setting, we also get multiple solutions for the problem  $(S_\mu)$ .*

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