

Principal Typings for Explicit Substitution*

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- 1 Background
- 2 Principal Typing for λ dB
- 3 Principal Typing for ES
 - Principal Typing for $TA_{\lambda s_e}$
 - Principal Typing for $TA_{\lambda \sigma}$
- 4 Conclusions and Future Work

Principal Typing

Let $A \vdash M : \tau$ be a type judgement in some type system \mathcal{S}

- $\Theta = \langle A, \tau \rangle$ is a typing of M in \mathcal{S} ($\mathcal{S} \Vdash M : \Theta$).

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- PT property allows *compositional* type inference

Principal Typing versus Principal Type [Jim96]

Given term M and context A , τ is a **principal type** of M if it represents any other possible type of M in A .

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Answer: $\alpha \rightarrow \alpha$

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$\langle y:\alpha \rightarrow \alpha, \alpha \rightarrow \alpha \rangle$; and many more

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Principal Typing versus Principal Type

	Principal Type	Principal Typing
STLC	✓ [Hi97]	✓ [Wells02]
Hindley/Milner	✓ [DM82]	X [Wells02]
System F	?	X [Wells02]
System \mathbb{I}	✓ [KW04]	✓ [KW04]

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Example

Let $\Theta_1 = \langle y:\alpha \rightarrow \beta, \alpha \rightarrow \beta \rangle$ and $\Theta_2 = \langle y:\alpha \rightarrow \alpha, \alpha \rightarrow \alpha \rangle$.

Moreover, $\lambda_x.(y x)$ is in $Terms(\Theta_1) \cap Terms(\Theta_2)$.

And $\lambda_x.x$ is in $Terms(\Theta_2) \setminus Terms(\Theta_1)$.

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Moreover, $\lambda_x.(y x)$ is in $Terms(\Theta_1) \cap Terms(\Theta_2)$.

And $\lambda_x.x$ is in $Terms(\Theta_2) \setminus Terms(\Theta_1)$.

Therefore, $\Theta_1 \leq_{\mathcal{S}} \Theta_2$.

Principal Typing

Definition (General PT [Wells02])

A typing Θ in system S is principal for some term M iff $S \Vdash M:\Theta$ and for all Θ' , $S \Vdash M:\Theta'$ implies $\Theta \leq_S \Theta'$.

Simple Type System

Definition (Simple Types and Contexts)

Types $\tau ::= \alpha \mid \tau \rightarrow \tau$

Contexts $A ::= nil \mid \tau.A$

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- $|A|$: A 's length.
- For $A = \tau_1.\tau_2.\dots.\tau_m$:
 $A_{\leq n} = \tau_1.\dots.\tau_n$
 $A_{\geq n} = \tau_n.\dots.\tau_m.nil$
- $A_{< n}$ and $A_{> n}$ defined similarly

The System $TA_{\lambda dB}$

Syntax

Terms $M ::= \underline{n} \mid (M M) \mid \lambda.M$

Typing Rules

$$\tau.A \vdash \underline{1} : \tau \text{ (Var)}$$

$$\frac{A \vdash \underline{n} : \tau}{\sigma.A \vdash \underline{n+1} : \tau} \text{ (Varn)}$$

$$\frac{\sigma.A \vdash M : \tau}{A \vdash \lambda.M : \sigma \rightarrow \tau} \text{ (Lambda)}$$

$$\frac{A \vdash M : \sigma \rightarrow \tau \quad A \vdash N : \sigma}{A \vdash (M N) : \tau} \text{ (App)}$$

PT in $TA_{\lambda dB}$ Definition (System Dependent PT in $TA_{\lambda dB}$)

In $TA_{\lambda dB}$, $\Theta = \langle A, \tau \rangle$ is *system dependent PT* for M iff $TA_{\lambda dB} \Vdash M : \Theta$ and for any $\Theta' = \langle A', \tau' \rangle$, if $TA_{\lambda dB} \Vdash M : \Theta'$, then there exists some type substitution s such that $s(A) = A'_{\leq |A|}.nil$ and $s(\tau) = \tau'$.

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Theorem (PT for $TA_{\lambda dB}$)

$TA_{\lambda dB}$ satisfies the PT property.

Type Inference for $TA_{\lambda dB}$ [AyMu2000]

1st Given term M , let M' be its annotated version.

Ex: for $M = \lambda.(\underline{2} \ \underline{1})$, $M' = (\lambda.(\underline{2} \ \underline{1})_{\tau_1}^{\tau_2} A_3)_{\tau_4}^{\tau_3} A_4$

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2nd Let R_0 be the set of subterms of M' . Start using the type inference algorithm on $\langle\langle R_0, \emptyset \rangle\rangle$

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3rd When $\langle\langle \emptyset, E \rangle\rangle$ is reached, E is the set of equations on type and context variables

4th Use a first order unification algorithm to obtain the m.g.u.

Type Inference for $TA_{\lambda dB}$

$$\begin{aligned} \text{(Var)} \quad & \langle\langle R \cup \{\underline{1}^A\}, E \rangle\rangle \rightarrow \\ & \langle\langle R, E \cup \{A = \tau.A'\} \rangle\rangle \\ \text{(Varn)} \quad & \langle\langle R \cup \{\underline{n}^A\}, E \rangle\rangle \rightarrow \\ & \langle\langle R, E \cup \{A = \tau'_1 \cdots \tau'_{n-1} \cdot \tau.A'\} \rangle\rangle \\ \text{(Lambda)} \quad & \langle\langle R \cup \{(\lambda.M_{\tau_1}^{A_1})_{\tau_2}^{A_2}\}, E \rangle\rangle \rightarrow \\ & \langle\langle R, E \cup \{\tau_2 = \tau^* \rightarrow \tau_1, A_1 = \tau^*.A_2\} \rangle\rangle \\ \text{(App)} \quad & \langle\langle R \cup \{(M_{\tau_1}^{A_1} N_{\tau_2}^{A_2})_{\tau_3}^{A_3}\}, E \rangle\rangle \rightarrow \\ & \langle\langle R, E \cup \{A_1 = A_2, A_2 = A_3, \tau_1 = \tau_2 \rightarrow \tau_3\} \rangle\rangle \end{aligned}$$

Obs: τ' , τ^* and A' are fresh variables

The System $TA_{\lambda s_e}$ [KR97]

Syntax

Terms $M ::= \underline{n} \mid (M M) \mid \lambda.M \mid M \sigma^i M \mid \varphi_k^j M$

Typing Rules

$$\frac{A_{\leq k} \cdot A_{\geq k+i} \vdash M : \tau}{A \vdash \varphi_k^j M : \tau} \text{ (Phi)} \quad \frac{A_{\geq i} \vdash N : \sigma \quad A_{< i} \cdot \sigma \cdot A_{\geq i} \vdash M : \tau}{A \vdash M \sigma^i N : \tau} \text{ (Sigma)}$$

PT in $TA_{\lambda s_e}$ Definition (System Dependent PT in $TA_{\lambda s_e}$)

In $TA_{\lambda s_e}$, $\Theta = \langle A, \tau \rangle$ is a *system dependent PT* for M iff $TA_{\lambda s_e} \Vdash M : \Theta$ and for any typing $\Theta' = \langle A', \tau' \rangle$, if $TA_{\lambda s_e} \Vdash M : \Theta'$, then there exists some type substitution s such that $s(A) = A'_{\leq |A|} \cdot nil$ and $s(\tau) = \tau'$.

PT for $TA_{\lambda s_e}$

Theorem (Correspondence for $TA_{\lambda s_e}$)

In $TA_{\lambda s_e}$, Θ is a system dependent PT for M iff Θ is a general PT for M .

PT for $TA_{\lambda_{se}}$

Theorem (Correspondence for $TA_{\lambda_{se}}$)

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$TA_{\lambda_{se}}$ satisfies the PT property.

PT for $TA_{\lambda_{Se}}$ Theorem (Correspondence for $TA_{\lambda_{Se}}$)

In $TA_{\lambda_{Se}}$, Θ is a system dependent PT for M iff Θ is a general PT for M .

Theorem (PT for $TA_{\lambda_{Se}}$)

$TA_{\lambda_{Se}}$ satisfies the PT property.

$$\begin{array}{l}
 \text{(Sigma)} \quad \langle\langle R \cup \{(M_{\tau_1}^{A_1} \sigma^i N_{\tau_2}^{A_2})_{\tau_3}^{A_3}\}, E \rangle\rangle \rightarrow \\
 \quad \langle\langle R, E \cup \{\tau_1 = \tau_3, A_1 = \tau'_1 \cdot \dots \cdot \tau'_{i-1} \cdot \tau_2 \cdot A_2, A_3 = \tau'_1 \cdot \dots \cdot \tau'_{i-1} \cdot A_2\} \rangle\rangle \\
 \text{(Phi)} \quad \langle\langle R \cup \{(\varphi_k^i M_{\tau_1}^{A_1})_{\tau_2}^{A_2}\}, E \rangle\rangle \rightarrow \\
 \quad \langle\langle R, E \cup \{\tau_1 = \tau_2, A_2 = \tau'_1 \cdot \dots \cdot \tau'_{k+i-1} \cdot A', A_1 = \tau'_1 \cdot \dots \cdot \tau'_k \cdot A'\} \rangle\rangle
 \end{array}$$

The System $TA_{\lambda\sigma}$ [ACCL91]

Syntax

Terms $M ::= \underline{1} \mid (M M) \mid \lambda.M \mid M[S]$ Substitution $S ::= id \mid \uparrow \mid M.S \mid S \circ S$

Typing rules

Terms

$$\tau.A \vdash \underline{1} : \tau \text{ (var)}$$

$$\frac{A \vdash M : \sigma \rightarrow \tau \quad A \vdash N : \sigma}{A \vdash (M N) : \tau} \text{ (app)}$$

$$\frac{\sigma.A \vdash M : \tau}{A \vdash \lambda.M : \sigma \rightarrow \tau} \text{ (lambda)}$$

$$\frac{A \vdash S \triangleright A' \quad A' \vdash M : \tau}{A \vdash M[S] : \tau} \text{ (clos)}$$

Substitutions

$$A \vdash id \triangleright A \text{ (id)}$$

$$\frac{A \vdash M : \tau \quad A \vdash S \triangleright A'}{A \vdash M.S \triangleright \tau.A'} \text{ (cons)}$$

$$\tau.A \vdash \uparrow \triangleright A \text{ (shift)}$$

$$\frac{A \vdash S'' \triangleright A'' \quad A'' \vdash S' \triangleright A'}{A \vdash S' \circ S'' \triangleright A'} \text{ (comp)}$$

PT in $TA_{\lambda\sigma}$ Definition (System Dependent PT in $TA_{\lambda\sigma}$)

In $TA_{\lambda\sigma}$, $\Theta = \langle A, \mathbb{T} \rangle$ is a *system dependent PT* for M iff $TA_{\lambda\sigma} \Vdash M : \Theta$ and for any typing $\Theta' = \langle A', \mathbb{T}' \rangle$, if $TA_{\lambda\sigma} \Vdash M : \Theta'$, then there exists some type substitution s such that $s(A) = A'_{\leq |A|}.nil$ and: if \mathbb{T} is a type then $s(\mathbb{T}) = \mathbb{T}'$, otherwise $s(\mathbb{T}) = \mathbb{T}'_{\leq |\mathbb{T}|}.nil$.

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Theorem (Correspondence for $TA_{\lambda\sigma}$)

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Theorem (PT for $TA_{\lambda\sigma}$)

$TA_{\lambda\sigma}$ satisfies the PT property.

Type Inference for $TA_{\lambda\sigma}$ [Bo95]

$$\begin{array}{ll}
(\text{Var}) & \langle\langle R \cup \{\underline{1}_{\tau}^A\}, E \rangle\rangle \rightarrow \langle\langle R, E \cup \{A = \tau.A'\} \rangle\rangle \\
(\text{Lambda}) & \langle\langle R \cup \{(\lambda.M_{\tau_1}^{A_1} A_2)_{\tau_2}\}, E \rangle\rangle \rightarrow \langle\langle R, E \cup \{\tau_2 = \tau^* \rightarrow \tau_1, A_1 = \tau^*.A_2\} \rangle\rangle \\
(\text{App}) & \langle\langle R \cup \{(M_{\tau_1}^{A_1} N_{\tau_2}^{A_2})_{\tau_3}\}, E \rangle\rangle \rightarrow \langle\langle R, E \cup \{A_1 = A_2, A_2 = A_3, \tau_1 = \tau_2 \rightarrow \tau_3\} \rangle\rangle \\
(\text{Clos}) & \langle\langle R \cup \{(M_{\tau_1}^{A_1} [S_{A_3}^{A_2}])_{\tau_2} A_4\}, E \rangle\rangle \rightarrow \langle\langle R, E \cup \{A_1 = A_3, A_2 = A_4, \tau_1 = \tau_2\} \rangle\rangle \\
(\text{Id}) & \langle\langle R \cup \{id_{A_2}^{A_1}\}, E \rangle\rangle \rightarrow \langle\langle R, E \cup \{A_1 = A_2\} \rangle\rangle \\
(\text{Shift}) & \langle\langle R \cup \{\uparrow_{A_2}^{A_1}\}, E \rangle\rangle \rightarrow \langle\langle R, E \cup \{A_1 = \tau'.A_2\} \rangle\rangle \\
(\text{Cons}) & \langle\langle R \cup \{(M_{\tau_1}^{A_1} . S_{A_3}^{A_2})_{A_5} A_4\}, E \rangle\rangle \rightarrow \langle\langle R, E \cup \{A_1 = A_2, A_2 = A_4, A_5 = \tau_1.A_3\} \rangle\rangle \\
(\text{Comp}) & \langle\langle R \cup \{(S_{A_2}^{A_1} \circ T_{A_4}^{A_3})_{A_6} A_5\}, E \rangle\rangle \rightarrow \langle\langle R, E \cup \{A_1 = A_4, A_2 = A_6, A_3 = A_5\} \rangle\rangle
\end{array}$$

Conclusions

- PT is not a trivial property.
- A system dependent definition of PT for the λ -calculus in de Bruijn notation was proposed and proved correct and $TA_{\lambda dB}$ was proved to satisfy the PT property.
- More importantly, dependent definitions of PT for λ_{S_e} and λ_{σ} were proposed and proved correct and both type systems were proved to satisfy the PT property.
- In all type systems considered the PT property was constructively proved based on the existence of type inference algorithms.
- Since $TA_{\lambda_{S_e}}$ and $TA_{\lambda_{\sigma}}$ respectively involve the treatment of a built-in arithmetic theory and *substitution* objects, establishing the PT property was not trivial.



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